



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2100

APPLICANT:	DON WHITEHEAD, ET AL.)	
)	
SERIAL NUMBER:	09/431,737)	
)	
FILED:	November 1, 1999)	ART UNIT
)	2121
)	
FOR:	MAN MACHINE INTERFACE)	EXAMINER
	FOR POWER MANAGEMENT)	THOMAS K. PHAM
	CONTROL SYSTEMS)	

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Commissioner of Patents and Trademarks
Washington, DC 20231

Dear Sir:

In compliance with the duty to disclose, Applicants submit herewith a copy of documents generated during the course of litigation involving Power Measurement, Inc. and Power Measurement Ltd. vs. General Electric Company, Case Number CV02-0058, in the United States District Court Western District of Washington at Seattle; and General Electric Company vs. Power Measurement, Inc. and Power Measurement Ltd., Case Number 302-CV-78AWT, in the United States District Court District of Connecticut, which are listed on attached form PTO-1449.

The accompanying IDS material is supplemental to the material submitted in the IDS filed June 16, 2003.

Consideration of this Information Disclosure Statement is respectfully requested, since the Examiner may consider the information provided herein material to the patentability of the subject application as defined in 37 CFR §1.56.

Match and Return

If there are any charges with respect to this Information Disclosure Statement or otherwise, please charge them to Deposit Account No. 06-1130 maintained by Applicants' attorneys.

Respectfully submitted,

CANTOR COLBURN LLP
Applicants' Attorneys

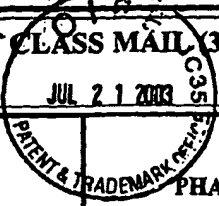
By: 

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Date: July 17, 2003

2/21

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8) Applicant(s): WHITEHEAD et al.		Docket No. 41PR-7349/96-1165-A	
Serial No. 09/431,737	Filing Date 11/01/99	Examiner PHAM, Thomas K.	Group Art Unit 2121



Invention:

MAN MACHINE INTERFACE FOR POWER MANAGEMENT CONTROL SYSTEMS

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I hereby certify that this Supplemental IDS, IDS Transmittal, Form 1449 with 23 References, Postcard

(Identify type of correspondence)


is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 17, 2003

(Date)

Marianne S. Carello

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
41PR-7349/96-1165-A

In Re Application:

WHITEHEAD et al.

Serial No.

09/431,737

Filing Date

11/01/99

Examiner

PHAM, Thomas K.

Group Art Unit

2121

MAN MACHINE INTERFACE FOR POWER MANAGEMENT CONTROL SYSTEMS

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Technology Center 2100

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.
- ☐ Charge the amount of _____
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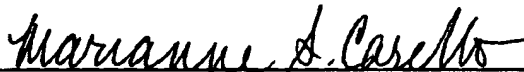
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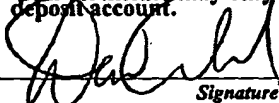


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Signature

Dated: July 17, 2003

David Arnold

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Customer No. 23413

cc:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b))

Docket No.
41PR-7349/96-1165-A

In R Application Of:

WHITEHEAD et al.

Serial No.
09/431,737Filing Dat
11/01/99Examiner
PHAM, Thomas K.Group Art Unit
2121

Title:

MAN MACHINE INTERFACE FOR POWER MANAGEMENT CONTROL SYSTEMS

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Technology Center 2100

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).



Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 cfr 1.98(b))	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 41PR-7349 (96-1165A)	SERIAL NO. 09/431,737
			APPLICANT WHITEHEAD et al.	RECEIVED JUL 23 2003 Technology Center 2100
			FILING DATE November 1, 1999	
			GROUP 2121	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.**)				
		-	LETTER dated December 13, 2001 from Gustavo Siller to Charles O'Brien, with Attachment A.	
		-	FACSIMILE dated August 4, 1994 from Bradford Forth to Elise Woolfort.	
		-	FACSIMILE dated August 18, 1994 from Bradford Forth to John Bliven and Elise Woolfort.	
		-	FACSIMILE dated October 7, 1994 from Bradford Forth to Elise Woolfort	
		-	FACSIMILE dated October 28, 1994 from Bradford Forth to Joseph Krisciunas.	
		-	FACSIMILE dated November 3, 1994 from Bradford Forth to Elise Woolfort and Joseph Krisciunas.	
		-	FACSIMILE dated February 2, 1995 from Bradford Forth to Bo Andersen.	
		-	LETTER dated February 24, 1995 from Bradford Forth to Mr. Whitehead.	
		-	SALES ORDER NUMBER 6501 dated July 24, 2001.	
		-	FACSIMILE dated March 24, 1995 from Bradford Forth to Don Whitehead.	
		-	FACSIMILE dated April 12, 1995 from Bradford Forth to Don Whitehead.	
		-	MINUTES of Technical Meeting with GE dated May 15, 1995.	
		-	FACSIMILE dated June 2, 1995 from Bradford Forth to Joseph Krisciunas, with Draft Attachment.	
		-	MEMO dated June 22, 1995 from Jeff Mammen to Bradford Forth.	
		-	FACSIMILE/LETTER dated September 15, 1995 from Veronica Kertesz to Piotr Przydatek.	
		-	FACSIMILE dated September 19, 1995 from Bradford Forth to Veronica Curtez.	
		-	FACSIMILE dated October 20, 1995 from Bradford Forth to Jack Brady.	
		-	MINUTES of Meeting with Bo Anderson, G.E., dated February 1, 1996.	
		-	SALES ORDER NUMBER 6234 dated August 14, 2001.	
		-	SALES ORDER NUMBER 9883 dated August 14, 2001.	
		-	SALES ORDER NUMBER 9979 dated August 14, 2001.	
		-	SALES ORDER NUMBER 10331 dated August 14, 2001.	
		-	SALES ORDER NUMBER 8580 dated August 14, 2001.	
EXAMINER		DATE CONSIDERED		
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

(Form PTO-1449 (6-4))

* Use Publication date indicated on the patent or published application. 37 CFR 1.98(b).

** The place or publication refers to the name of the journal, magazine or other publication in which the article was published.... Notice of January 9, 1992, 1135 O.G. 13 to 25, at 20.